


## 1. Identification

NatWest Group Businesses identify and classify proposed and existing clients and transactions against our policies.

**18** Adult Entertainment

 Animal testing

 Defense

 Forestry, fisheries and agribusiness

 Gambling

 Mining and metals

 Oil and gas


 Power generation


 Private security companies


The Reputational Risk Team is responsible for training employees on the ESE framework.

## 2. Screening and Assessment

NatWest Group use questionnaires to screen and assess ESE risks and classify cases as Normal, Sensitive, High and Prohibited.


 After enhanced due diligence, Normal cases are not assessed further

 Reputational Risk Committees decide on Sensitive and High cases

 Proposed customers with a Prohibited status cannot be onboarded

## 3. Judgement and Decision

NatWest Group Businesses are responsible for escalating Sensitive and High cases and for existing Prohibited cases.

 Reputational Risk Committees decide on Sensitive and High cases



**NatWest  
Group**